

Notes on Galois Theory

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Abstract

This note is a rearrangement of the lecture notes for the Galois theory at South University of Science and Technology of China, 2012. This supplementary course is taught by Prof. Jie-Tai Yu. The original scanned version by CamScanner is archived on Nutstore (older account) and Onedrive (SUSTC).

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1 Introduction

There are four main theorems in the Galois theory.

Theorem 1.1. *For any polynomial $f \in k[x]$ with $\deg(f) = 0$, we can uniquely determine $\text{Gal}(f)$.*

Note. $\text{Gal}(f)$ is the symmetric group of roots and it keeps the coefficients of f unchanged.

Theorem 1.2. *$f(x) = 0$ is solvable if and only if $\text{Gal}(f)$ is solvable.*

Theorem 1.3. *The Galois group of the general polynomial equation $x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 = 0$ is $\text{Gal}(f) = S_n$.*

The coefficients a_0, \dots, a_{n-1} are independent symbols.

Theorem 1.4. *S_n ($n \geq 5$) is unsolvable.*

Here is the relations for those theorems between algebra equations and Galois groups.

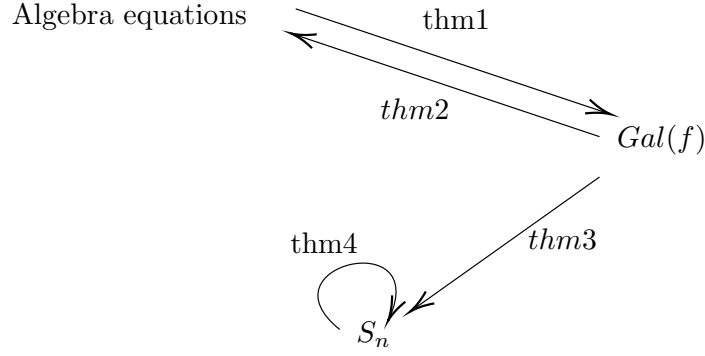


Figure 1.1. Relations for main theorems

2 Field extension

F, K, E, L, A are always represent fields.

Definition 2.1. (extension) $F \subseteq K \Leftrightarrow K \supseteq F \Leftrightarrow K/F \Leftrightarrow \begin{smallmatrix} K \\ | \\ F \end{smallmatrix}$.

Remark 2.2. K/F implies that K is a F -vector space.

Definition 2.3. (finite extension) $\dim(K/F) := [K:F]$, if $\dim(K/F)$ is finite, then we call K/F a finite extension.

Example 2.4. $[\mathbb{C}:\mathbb{R}] = 2$, and $\{1, \sqrt{-1}\}$ is a \mathbb{R} -basis of \mathbb{C} .

Theorem 2.5. (tower of extensions) If there are two finite extensions, K/E , E/F with $[K:E] = m$, $[E:F] = n$ respectively, then K/F is also a finite extension such that $[K:F] = mn$.

Proof. Consider an E -basis $\{\alpha_i\}_1^n$ of K and a F -basis $\{\beta_j\}_1^m$ of E , then $\{\alpha_i\beta_j\}_{1,1}^{n,m}$ is a F -basis of K , whose dimension is mn . \square

Definition 2.6. (algebraic extension) α is algebraic over F , if there exists $f(x) \in F[x]$ with $\deg(f) \geq 1$ s.t. $f(\alpha) = 0$. α is called an algebraic element over F .

If $\forall \alpha \in K$, s.t. α is always algebraic over F , then K/F is called an **algebraic extension**.

Theorem 2.7. A finite extension is always an algebraic extension.

Proof. Let $[K:F] = n$, then we have $\forall \alpha \in K$, $\{1 = \alpha^0, \alpha, \alpha^2, \dots, \alpha^n\} \subseteq K$ are linear dependent (since there are $n+1$ elements). Thus there exist $b_0, b_1, \dots, b_n \in F$ with at least one nonzero element, s.t.

$$b_0 \cdot 1 + b_1 \alpha + \dots + b_n \alpha^n \equiv 0$$

Define $f(x) = b_0 + b_1 x + \dots + b_n x^n \in F[x]$, then $f(\alpha) = 0$. Hence α is algebraic over F . \square

Remark 2.8. The converse result is not true. There are infinite algebraic extensions, for example, let \mathbb{A} be the algebraic closure of \mathbb{Q} in \mathbb{C} , then $[\mathbb{A}:\mathbb{Q}] = \infty$. However, α is algebraic over F , if and only if $[F[\alpha]:F] < \infty$.

代数扩张本身并不一定是有限扩张, 但可表作有限子扩张的归纳极限。

Definition 2.9. (fractional field) $F(u)$ is the smallest field containing F and u , i.e.

$$F(u) := \left\{ \frac{f(u)}{g(u)} \mid f(x), g(x) \in F[x], g(u) \neq 0 \right\}.$$

Theorem 2.10. u is algebraic over F if and only if $F(u) = F[u] = \{f(u) \mid f(x) \in F[x]\}$.

Proof. “ \Rightarrow ” We only need to proof $\frac{1}{g(u)} (g(u) \neq 0)$ can be written as a polynomial of u . Let $p(x) \in F[x]$ be the minimal polynomial of u in $F[x]$, s.t. $p(u) = 0$.

Then $p(x)$ is irreducible over F . We claim that for any $f(x) \in F[x]$ with $f(u) = 0$, we have $p(x) \mid f(x)$. Actually, $f(x) = q(x)p(x) + r(x)$ with $\deg(r(x)) < \deg(p(x))$, so $r(u) = 0$ thus $r(x) = 0$ (because of the minimality of $p(x)$).

So for $g(u) \neq 0$, $p(x) \nmid g(x)$. By BEZOUT's identity, there exist $a(x), b(x) \in F[x]$ such that $a(x)p(x) + b(x)g(x) = 1$. Then $b(u)g(u) = 1$ which implies $\frac{1}{g(u)} = b(u)$.

“ \Leftarrow ” Because $F(u) = F[u]$ then $1/u \in F[u]$, thus there exists $f(u) = 1/u$, i.e. $uf(u) - 1 = 0$. Let $g(x) = xf(x) - 1 \in F[x]$, then $g(u) = 0$, i.e. u is algebraic over F . \square

Example 2.11. $\mathbb{R}[i] = \mathbb{R}(i) \Rightarrow 1/i = f(i) = -i$, i.e. $g(x) = -x^2 - 1$ is the characteristic polynomial of i . On the other hand, i is algebraic over \mathbb{R} . For example, consider $g(i) = 2i + 1$, $\frac{1}{2i+1} = \frac{2i-1}{-5} = \frac{1-2i}{5}$.

This can be obtained by BEZOUT's identity as well: the minimal polynomial of i is $p(x) = x^2 + 1$. Then there exists $a(x)p(x) + b(x)g(x) = a(x)(x^2 + 1) + b(x)(2x + 1) = 1$. We can use the Euclidean algorithm.

$$\begin{aligned} (x^2 + 1) &= (2x + 1) \left(\frac{1}{2}x + 1 \right) - \frac{5}{2}x \\ 2x + 1 &= \left(-\frac{5}{2}x \right) \left(-\frac{4}{5} \right) + 1 \\ -\frac{5}{2}x &= 1 \times \left(-\frac{5}{2}x \right) \end{aligned}$$

By the penultimate(倒数第二个) identity,

$$\begin{aligned} 1 &= (2x + 1) + \frac{4}{5} \left(-\frac{5}{2}x \right) \\ &= (2x + 1) + \frac{4}{5} \left[(x^2 + 1) - (2x + 1) \left(\frac{1}{2}x + 1 \right) \right] \\ &= (2x + 1) \left[1 - \frac{4}{5} \left(\frac{1}{2}x + 1 \right) \right] + \frac{4}{5} (x^2 + 1) \\ &= (2x + 1) \left(\frac{1 - 2x}{5} \right) + \frac{4}{5} (x^2 + 1) \end{aligned}$$

i.e. $a(x) = \frac{4}{5}$, and $b(x) = \frac{1-2x}{5}$.

Remark 2.12. The monic and irreducible polynomial which vanishes u for an algebraic extension $F(u)/F$ is called the minimal polynomial in $F[x]$ of u , and is denoted by $\text{MinPoly}_F(u)$.

Theorem 2.13. Let u be algebraic over F , then $[F(u):F] = \deg(\text{MinPoly}_F(u))$.

Proof. For $F(u) = F[u]$, we want to prove that $\{1, u, \dots, u^{n-1}\}$ is a F -basis of $F[u]$.

1) Suppose

$$p(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$$

is the minimal polynomial of u , then $p(u) = 0$. Thus

$$u^n = -(a_{n-1}u^{n-1} + \dots + a_0)$$

i.e. any $f(u)$ can be represent by the basis.

2) All the elements inside the basis are linear independent, if not then there exists a smaller degree polynomial vanishes u , which contradicts to the minimality of $p(x)$.

In summary, we have $[F(u):F] = \deg(\text{MinPoly}_F(u))$. □

Theorem 2.14. If α, β are algebraic over F , so are $\alpha \pm \beta$, $\alpha\beta$ and α/β ($\beta \neq 0$).

Theorem 2.15. Let K/F be a field extension, and $E := \{\alpha \in K \mid \alpha \text{ is algebraic over } F\}$. Then $K/E/F$ and E/F is algebraic.

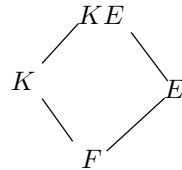
Such E is called *algebraic closure* of F in K .

Theorem 2.16. (tower of algebraic extensions) If K/E , E/F are algebraic extensions, then K/F is algebraic as well.

Proof. $\forall a \in K$, let $\text{MinPoly}_E(a) = x^n + b_{n-1}x^{n-1} + \dots + b_1x + b_0$, thus $F(b_0, \dots, b_{n-1}, a)/F(b_0, \dots, b_{n-1})$ is algebraic (finite). Note that $F(b_0, \dots, b_{n-1})/F$ is algebraic and finite. Thus by Theorem [tower of finite extensions](#), $F(b_0, \dots, b_{n-1}, a)/F$ is finite, hence algebraic. □

Definition 2.17. (composition) Suppose E, F contained in some larger field, the smallest field containing F and E is called composition field, which denoted by $FE = EF = F(E) = E(F)$.

Definition 2.18. (lifting) If we have K/F and E/F , then we called KE/E a lifting of K/F , and KE/K a lifting of E/F .



Theorem 2.19. If K/F is algebraic, then the lifting KE/E is also algebraic.

Proof. We consider an arbitrary elements α in K , then α is algebraic over F . Since E/F , we have α is also algebraic over E (coefficients are in F must be in E). By Theorem 2.14, for any $\beta \in E$, we have $\alpha\beta, \alpha \pm \beta, \alpha/\beta$ (for $\beta \neq 0$) is algebraic over E . Hence, we have all elements of KE is algebraic over E , i.e. KE/E is algebraic. \square

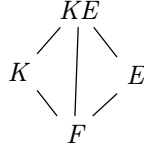
Theorem 2.20. *If K/F is finite, then the lifting KE/E is also finite.*

Proof. Assume $[K:F] = n$, such that $K = F(\alpha_1, \dots, \alpha_n)$, thus $KE = EF(\alpha_1, \dots, \alpha_n) = E(\alpha_1, \dots, \alpha_n)$. Consider two towers of finite extensions:

$$\begin{array}{ccc} F(\alpha_1, \dots, \alpha_n) & & E(\alpha_1, \dots, \alpha_n) \\ | & & | \\ F(\alpha_1, \dots, \alpha_{n-1}) & & E(\alpha_1, \dots, \alpha_{n-1}) \\ | & & | \\ F(\alpha_1) & & E(\alpha_1) \\ | & & | \\ F & & E \end{array},$$

Since $\deg(\text{MiniPoly}_F(\alpha_i)) \geq \deg(\text{MiniPoly}_E(\alpha_i))$, thus by induction $[KE:E] \leq [K:F] = n$. \square

Definition 2.21. (Composition of field extensions) KE/F is the composition of K/F and E/F .



Theorem 2.22.

1. *If $K/F, E/F$ are finite, then KE/F is finite.*
2. *If $K/F, E/F$ are algebraic, then KE/F is algebraic.*

Proof. K/F is finite(resp. algebraic) $\Rightarrow KE/E$ is finite (resp. algebraic) because of lifting. E/F is finite, hence by the tower properties, KE/F is finite (resp. algebraic). \square

Definition 2.23. (embedding) An embedding $F \xrightarrow{\varphi} L$ is a field injective homomorphism from F into L .

$$F \xrightarrow{\sigma} \sigma(F) \xrightarrow{\text{id}} L.$$

Definition 2.24. (τ acts on function) Let $g(\alpha) \in F[\alpha]$ and $F[\alpha] \xrightarrow{\tau} L$. Then

$$\tau(g)(x) = \tau(b_n)x^n + \dots + \tau(b_0) \in \tau(F)[x].$$

$g(x)$ is irreducible over $F \iff \tau(g)(x)$ is irreducible over $\tau(F)$.

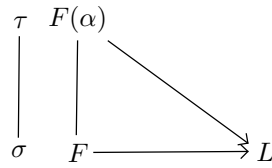
α is a root of $g(x) \iff \beta := \tau(\alpha)$ is a root of $\tau(g)(x)$.

Definition 2.25. (restriction of embedding) Let K/F be a field extension, $K \xrightarrow{\tau} L$ is an embedding, then we call $\tau|_F = \sigma$ the restriction of embedding from F into L . i.e. $\forall a \in F, \tau(a) = \sigma(a)$.

On the contrary, τ is an extension embedding of σ on K .

Note. There is a unique restriction for fixed embedding, while there are several extension for a certain embedding.

Theorem 2.26. The number of extension τ over σ for the field extension $F(\alpha)/F$ equals to the number of distinct roots of $\sigma(p)(x)$ in L , where $p(x)$ is the minimal polynomial of α over F . In particular, this number is $\leq [F(\alpha):F]$.



Proof. Let β be a root of $\sigma(p)(x)$ in L ,

$$\forall g(\alpha) = b_n \alpha^n + \cdots + b_1 \alpha + b_0, \quad b_i \in F \text{ are not all zero}$$

we may define $\tau(g(\alpha)) = \sigma(g)(\beta) = \sigma(b_n)\beta^n + \cdots + \sigma(b_0) \in L$. We prove that τ is a homomorphism and extension, since $\tau(g(\alpha) + h(\alpha)) = \tau(g(\alpha)) + \tau(h(\alpha))$, $\tau(g(\alpha)h(\alpha)) = \tau(g(\alpha))\tau(h(\alpha))$ and $\tau|_F = \sigma$, $\tau(\alpha) = \sigma(\alpha)$.

$$\text{Then } F[\alpha] \cong F[X]/\langle p(x) \rangle \cong \frac{\sigma(F)[X]}{\langle \sigma(p)(x) \rangle} \cong \sigma(F)[\beta]. \quad \square$$

Definition 2.27. (separable element) An algebraic element α over F is called separable over F iff $\text{MinPoly}_F(\alpha)$ has no multiple roots in any extension field of F .

Note 2.28. For separable element α , $[\tau:\sigma] = [F(\alpha):F] = \deg(\text{MinPoly}_F(\alpha))$.

If F is characteristic 0, then any algebraic element α is naturally separable.

Theorem 2.29. Let F be a field $f(x) \in F[x]$ ($\deg f \geq 1$) has no multiple roots in any extension field of F iff $\gcd(f(x), f'(x)) = 1$.

Proof. (sketch) Consider $f(x) = (x-a)^m g(x)$. \square

Corollary 2.30. If $\text{char}(F) = 0$, $p(x) \in F[x]$ is irreducible over F , then $p(x)$ has no multiple roots.

Proof. Let $\deg(p(x)) = n$, then $\deg(p'(x)) = n-1$, since $p'(x) \not\equiv 0$, hence $\gcd(p(x), p'(x)) = 1$. By Theorem 2.29, there is no multiple roots. \square

Theorem 2.31. Let F be a finite field, then every algebraic elements α over F is separable.

Proof. Let $|K:\mathbb{F}_q| = n$, choose $\{u_1, u_2, \dots, u_n\}$ as an \mathbb{F}_q basis of K . Then any element of K can be written as

$$a = b_1 u_1 + \cdots + b_n u_n, \quad b_i \in \mathbb{F}_q$$

For each b_i , we have q choices, then we have q^n distinct elements. \square

Corollary 2.32. *Let F be a finite field, $\text{char}(F) = p$, then $F = \mathbb{F}_{p^m}$ ($m \geq 1$).*

Consider $\mathbb{F}_{p^m}(\alpha)/\mathbb{F}_{p^m}$, and $\deg(\text{MinPoly}_{\mathbb{F}_q}(\alpha)) = n$, then $\mathbb{F}_{p^{mn}}^*$ is a group with $p^{mn} - 1$ elements. We then have $\alpha^{p^{mn}-1} = 1 \implies \alpha^{p^{mn}} - \alpha = 0$ (no multiple roots.)

Theorem 2.33. (separable extension) *Let K/F be finite. K/F is called separable if $[K:F]$ equals the number of distinct τ .*

Proposition 2.34. *K/F is separable $\iff \forall \alpha \in K$, α is separable over F .*

Proof. “ \Leftarrow ” Consider algebraic extension $K = F(\alpha_1, \alpha_1, \dots, \alpha_n)/F(\alpha_1, \dots, \alpha_{n-1})/\dots/F$ and the extension of embedding $\tau/\sigma_{n-1}/\dots/\sigma$.

“ \Rightarrow ” Suppose on the contrary K/F is separable but $\exists \alpha \in K$ is in separable over F . Consider the extension $\bar{\sigma}: F(\alpha) \rightarrow L$ of embedding $\sigma: F \rightarrow L$. Then the distinct τ over $\bar{\sigma}$ is $\leq [K:F(\alpha)]$ and distinct $\bar{\sigma}$ over σ is $< [F(\alpha):F]$. Thus the distinct τ over σ is $< [K:F(\alpha)][F(\alpha):F] = [K:F]$. \square

Note 2.35. The infinite extension of \mathbb{F}_p is an example of non-separable extension. In fact, consider $\mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z} = \{0, 1\}$, $F = \mathbb{F}_2(u^2)$ and $K = \mathbb{F}_2(u)$. Then $\text{Minpoly}_F(u) = (x - u)^2 = x^2 - u^2$. But there is only 1 embedding (identity) over F .

Definition 2.36. (primitive element) *Suppose $K = F(u)$, then u is called a primitive element of K over F .*

Lemma 2.37. *Suppose K/\mathbb{F}_q is finite, then $\exists \alpha \in K$ such that $K = \mathbb{F}_q(\alpha)$.*

Proof. $[K:\mathbb{F}_q] = n$, then $K = \mathbb{F}_{q^n}$, hence $K - \{0\}$ is a cyclic group,

$$K - \{0\} = \{1, \alpha, \alpha^2, \dots, \alpha^{q^n-2}\},$$

Thus $K = \mathbb{F}_q(\alpha)$. \square

Theorem 2.38. *Let K/F be **finite separable** extension, then $\exists \alpha \in K$, such that $K = F(\alpha)$.*

Proof. We only prove F is infinite case. WLOG, we may assume $K = F(\beta, \gamma)$. Since $K = F(\alpha_1, \dots, \alpha_r) = F(\alpha_3, \dots, \alpha_r)(\alpha_1, \alpha_2) = E(\alpha_1, \alpha_2)$.

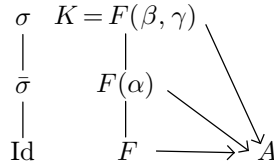


Figure 2.1. extension of fields and embeddings

Suppose $[K:F] = n$, $\#\sigma = n$ and $\#\bar{\sigma} \leq [F(\alpha):F]$. On the other hand,

$$[F(\beta, \gamma):F(\alpha)][F(\alpha):F] = [K:F] = n$$

Since $[F(\beta, \gamma): F(\alpha)] \geq \# \sigma / \bar{\sigma}$, $[F(\alpha): F] \leq \# \bar{\sigma}$. Hence $[F(\alpha): F] = \# \bar{\sigma}$.

So we define

$$f(x) = \prod_{1 \leq i \leq j \leq n} [\sigma_i(\beta + x\gamma) - \sigma_j(\beta + x\gamma)] = \prod_{1 \leq i \leq j \leq n} [(\sigma_i(\beta) - \sigma_j(\beta)) + (\sigma_i(\gamma) - \sigma_j(\gamma))x]$$

Note that $\deg(f) \leq \binom{n}{2}$, $f \in A[X]$. For F is infinite, $\exists c \in F$, s.t. $f(c) \neq 0$. Then we have

$$\sigma_i(\beta) \neq \sigma_j(\beta), \sigma_i(\gamma) \neq \sigma_j(\gamma)$$

Thus $\# \bar{\sigma} = \# \sigma = n$, $F(\beta, \gamma) = F(\alpha)$. □

Definition 2.39. We call τ is over F , if the following holds (i.e. fixed F)

$$\begin{array}{ccc} \sigma & K & \\ \downarrow & \downarrow & \searrow \\ \text{id} & F & \longrightarrow A \end{array}$$

Theorem 2.40. Let K/F be algebraic, σ is an embedding from K into K over F , $\sigma(K) \subseteq K$. Then $\sigma(K) = K$ i.e. $\sigma \in \text{Aut}(K/F)$.

Proof. K/F is algebraic, for each $\alpha \in K$, α is algebraic over F . Let $\alpha_1, \alpha_2, \dots, \alpha_r$ be all distinct roots of $\text{MinPoly}_F(\alpha)$. Since σ is injective, σ is a permutation of $\{\alpha_1, \dots, \alpha_r\}$. So $\exists \alpha_i$, s.t. $\sigma(\alpha_i) = \alpha$. Therefore, σ is surjective. □

For non algebraic extension, we won't get an automorphism.

Example 2.41. (counter example) Consider $K = F(u)$, $\varphi(F(u)) = F(u^2) \subsetneq F(u)$, where u is non-algebraic over F .

Definition 2.42. (normal extension) K/F is finite. If $\sigma(K) = K$, i.e. σ induce an automorphism of K . Then K/F is called a normal extension.

Example 2.43. (counter example) Consider the extension $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$, and the embedding to \mathbb{C} , i.e. $\sigma: \mathbb{Q}(\sqrt[3]{2}) \rightarrow \mathbb{C}$ are extension of id. Then $\sigma_1 = \text{Id}$, $\sigma_2: \sqrt[3]{2} \rightarrow \sqrt[3]{2}\omega$, $\sigma_3: \sqrt[3]{2} \rightarrow \sqrt[3]{2}\omega^2$. $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$ is not normal.

Definition 2.44. (split field) $K = F(\alpha_1, \dots, \alpha_n)$ is called split field of $f(x)$ over F for α_i are all roots of $f(x)$, and denoted by $K = \text{Split}_F(f)$.

Theorem 2.45. K/F is normal $\iff K = \text{Split}_F(f)$ for some $f(x) \in F[x]$.

Proof. “ \Leftarrow ” Suppose K is split field $K = F(\alpha_1, \dots, \alpha_n)$. $f(x) = (x - \alpha_1) \cdots (x - \alpha_n) \in F[x]$. We only need to prove $\sigma(K) \subseteq K$ and $\sigma(\alpha_i) = \alpha_j \in K$.

“ \Rightarrow ” $K = F(\alpha_1, \dots, \alpha_r)$ is finite. $\text{MinPoly}_F(\alpha_i) = p_i(x) \in F[x]$. Let $p(x) = \prod_{i=1}^r p_i(x) \in F[x]$. K is split field of $p(x)$. □

3 Galois Extension

Definition 3.1. (embedding set) K/F is finite, define $\text{Emb}(K/F) := \{\sigma | \sigma: K \rightarrow A, \text{Id}: F \rightarrow A, \sigma/\text{Id}\}$

Note 3.2. We don't need condition $\sigma(K) \subseteq K$ for the definition.

Definition 3.3. (fixed subfield) Let K/F be finite, $\emptyset \neq S \subseteq \text{Emb}(K/F)$. Define

$$K^S = \{\alpha \in K | \sigma(\alpha) = \alpha \text{ for all } \sigma \in S\} \supseteq F$$

K^S , $K^{\text{Emb}(K/F)}$ is a field and is a subfield of K .

Theorem 3.4. Let K/F be separable. Then $K^{\text{Emb}(K/F)} = F$.

Proof. We have $K^S \supset F$, suppose on the contrary $K^{\text{Emb}(K/F)} \supsetneq F$. Then there exists $\alpha \in K^{\text{Emb}(K/F)}$ but $\alpha \notin F$, such that $\text{MinPoly}_F(\alpha) = p(\alpha)$, $\deg(p(x)) \geq 2$. Then we have another root $\beta \neq \alpha$. Hence there is additional embedding $\sigma(\alpha) = \beta$. $\sigma \in \text{Emb}(K/F)$. But by the definition of K^S , $\sigma(\alpha) = \alpha$, which is a contradiction. \square

Example 3.5. (counter example) $\mathbb{F}_2(u) = K/F = \mathbb{F}_2(u^2)$, then $\text{Emb}(K/F) = \{\text{Id}\}$. However, $K^{\text{Emb}(K/F)} = K^{\{\text{Id}\}} = K \neq F$.

Since all embeddings of a normal extension is an automorphism of K , hence we have

Theorem 3.6. If K/F is normal, then $\text{Emb}(K/F) = \text{Aut}(K/F)$.

Definition 3.7. (Galois extension) A finite extension K/F is called Galois iff K/F is normal and separable. In this case, we denote the Galois group

$$\text{Gal}(K/F) := \text{Aut}(K/F).$$

Note 3.8. normal means all embeddings are automorphisms, separable means all embeddings are distinct.

Lemma 3.9. If we have field extensions $K/E/F$, K/F is normal, then K/E is also normal.

Theorem 3.10. For field extension $K/E/F$, if K/F is Galois, then K/E is also Galois.

$$K^{\text{Gal}(K/E)} = K^{\text{Emb}(K/E)} = E.$$

Theorem 3.11. K/F is Galois, then $\text{Gal}(K/K^H) = H$.

$$\begin{array}{ccc} K & \xrightarrow{\quad} & \{\text{Id}\} \\ | & & | \\ K^H & \xrightarrow{\quad} & H \\ | & & | \\ F & \xrightarrow{\quad} & \text{Gal}(K/F) \end{array}$$

Proof. Suppose $H = \{\sigma_1, \dots, \sigma_r\}$. K/F is (finite) separable, $\exists \alpha \in K$ st. $K = F(\alpha) = K^H(\alpha)$. Define $f(x) = (x - \sigma_1\alpha) \cdots (x - \sigma_r\alpha)$. $\forall \sigma \in H$, we have

$$\sigma(f)(x) = (x - \sigma\sigma_1\alpha) \cdots (x - \sigma\sigma_r\alpha)$$

$H = \{\sigma_1, \dots, \sigma_r\} = \{\sigma\sigma_1, \dots, \sigma\sigma_r\}$. It follows $f(x) = \sigma(f)(x)$. So the coefficients of $f(x)$ doesn't change through σ , thus $f(x) \in K^H[x]$.

On the other hand $f(\alpha) = 0$, $\text{id} \in H$,

$$\text{MinPoly}_{K^H}(\alpha) | f(x) \implies [K : K^H] = [K^H(\alpha) : K^H] \leq \#H$$

While $[K^H(\alpha) : K^H] = \# \text{distinct embedding } K \text{ over } K^H = \#H$, hence

$$[K : K^H] = |H|$$

Every embedding of K/K^H is automorphism and K/K^H is normal and separable. Then K/K^H is Galois, and $\text{Gal}(K/K^H) = H$. \square

Theorem 3.12. (first fundamental theorem of Galois theory) *Let K/F be Galois and $K \supseteq E \supseteq F$, then K/E is Galois and $K^{\text{Gal}(K/E)} = E$.*

For any subgroup of $\text{Gal}(K/F)$, $H \subseteq \text{Gal}(K/F)$. K/K^H is Galois and $\text{Gal}(K/K^H) = H$. Define $A = \{E | K \supseteq E \supseteq F\}$, $B = \{H | H \subseteq \text{Gal}(K/F)\}$.

$$\begin{array}{ll} \varphi: A \rightarrow B & \psi: B \rightarrow A \\ E \mapsto \varphi(E) = \text{Gal}(K/E) & H \mapsto \psi(H) = K^H \end{array}$$

Then φ and ψ are bijective, counter-inclusion: $E_1 \leq E_2 \implies \text{Gal}(K/E_1) \supseteq \text{Gal}(K/E_2)$ and $H_1 \leq H_2 \implies K^{H_1} \supseteq K^{H_2}$. Moreover, $\varphi \circ \psi = \text{Id}_B$, $\psi \circ \varphi = \text{Id}_A$.

Note 3.13. φ, ψ are map between field and group, not homomorphism.

Lemma 3.14. *Let λ be any embedding from E into A over F , if K/E is Galois then $\lambda(K)/\lambda(E)$ is also Galois and*

$$\text{Gal}(\lambda(K), \lambda(E)) = \lambda \text{Gal}(K/E) \lambda^{-1}.$$

Proof. Suppose $\sigma \in \text{Gal}(K/E)$ only $\lambda\sigma\lambda^{-1}(\lambda(K)) = \lambda\sigma(K) = \lambda(K)$. For any $\lambda(\alpha) \in \lambda(E)$, $\alpha \in E$, $\lambda\sigma\lambda^{-1}(\lambda(\alpha)) = \lambda\sigma(\alpha) = \lambda(\alpha)$. \square

Theorem 3.15. (second fundamental theorem of Galois theory) *Let K/F be Galois, $K \supseteq E \supseteq F$, then E/F is Galois if and only if $\text{Gal}(K/E) \triangleleft \text{Gal}(K/F)$.*

In particular, if E/F is Galois, $\text{Gal}(E/F) = \frac{\text{Gal}(K/F)}{\text{Gal}(K/E)}$.

Proof. “ \Leftarrow ” Let λ be any embedding from E into A over F . λ can be extended to embedding of K into A over F . For K/F is Galois, so we have $\lambda(K) = K$. We only need to prove $\lambda(E) = E$.

$$\text{Gal}(K/\lambda(E)) = \text{Gal}(\lambda(K)/\lambda(E)) = \lambda \text{Gal}(K/E) \lambda^{-1} = \text{Gal}(K/E),$$

The last equality holds because that $\text{Gal}(K/E) \triangleleft \text{Gal}(K/F)$. Hence,

$$\lambda(E) = K^{\text{Gal}(K/\lambda(E))} = K^{\text{Gal}(K/E)} = E.$$

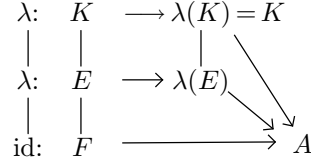


Figure 3.1. Galois normal subgroup embedding field

“ \Rightarrow ” E/F is Galois. We define $\varphi(\text{Gal}(K/F)) \rightarrow \text{Gal}(E/F)$ by $\sigma \mapsto \varphi(\sigma) = \sigma|_E$. This is a surjective group homomorphism, and

$$\ker \varphi = \{\sigma \in \text{Aut}(K/F) : \sigma|_E = \text{id}_E\} = \text{Gal}(K/E)$$

Thus we have $\text{Gal}(E/F) = \frac{\text{Gal}(K/F)}{\text{Gal}(K/E)}$. \square

Example 3.16. Let $f(x) = x^3 - 2 = (x - \sqrt[3]{2})(x - \sqrt[3]{2}\omega)(x - \sqrt[3]{2}\omega^2)$, $\omega = \frac{-1 + \sqrt{3}i}{2}$. Consider $K = \mathbb{Q}(\alpha_1, \alpha_2, \alpha_3) = \text{split}_{\mathbb{Q}}(x^3 - 2)$. Then we have

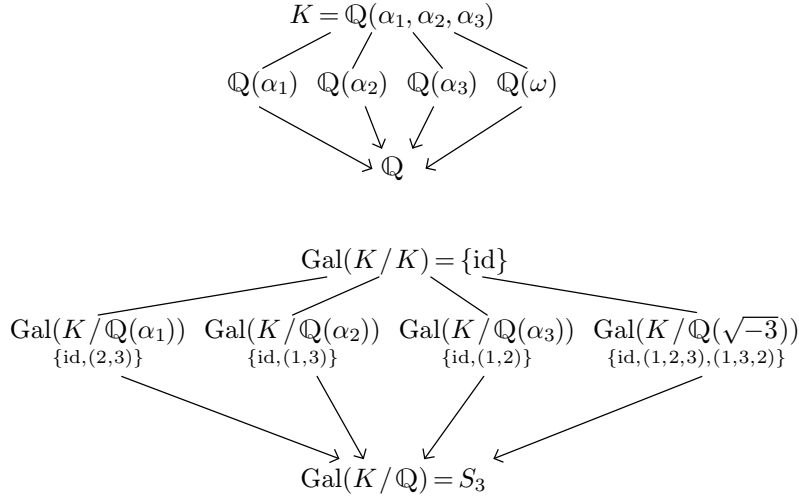


Figure 3.2. Field extensions and group extensions

Note that Only $\text{Gal}(K/\mathbb{Q}(\sqrt{-3}))$ is a normal subgroup. Hence, only $\mathbb{Q}(\omega)/\mathbb{Q}$ is Galois.

Example 3.17. If K/E , E/F are Galois, then K/F is not always Galois. Here is an example, $K = \mathbb{Q}(\sqrt{1 + \sqrt{2}})$, $E = \mathbb{Q}(\sqrt{2})$ and $F = \mathbb{Q}$.

4 Solvable Groups

Definition 4.1. $\phi_n = \phi_n^{A/F} := \{\theta \in A \mid \theta^n = 1_F\} = \langle \varepsilon \rangle := \{\varepsilon^0 = 1, \varepsilon, \varepsilon^2, \dots\}$, ε is called primitive n -root of 1.

Definition 4.2. K/F is Galois, then we call K/F is Abelian if $\text{Gal}(K/F)$ is abelian, K/F is cyclic if $\text{Gal}(K/F)$ is cyclic.

Theorem 4.3. Let ε be a primitive n -root of 1 in $A \supset F$, then $F(\varepsilon)/F$ is abelian. (Suppose $\text{char}(F) \nmid n$)

Proof. $[\sigma(\varepsilon)]^n = \sigma(\varepsilon^n) = \sigma(1) = 1$, then $\sigma(\varepsilon) = \varepsilon^{n\sigma} \in F(\varepsilon)$. $\sigma(F(\varepsilon)) = F(\varepsilon)$. $(x^n - 1)' = nx^{n-1} \neq 0$, $\gcd(x^n - 1, nx^{n-1}) = 1$. So it is Galois and $\sigma \circ \tau = \tau \circ \sigma$ since

$$\tau \circ \sigma(\varepsilon) = \tau(\sigma(\varepsilon)) = \tau(\varepsilon^{n\sigma}) = (\tau(\varepsilon))^{n\sigma} = \varepsilon^{n\tau n\sigma} = \sigma \circ \tau(\varepsilon) \quad \square$$

Theorem 4.4. Suppose F contains an n -th primitive root of 1, $\varepsilon \in F \subseteq A$, $\text{char}(F) \nmid n$, and $\alpha^n = b \in F$, then $F(\alpha)/F$ is cyclic.

Proof. $\left(\frac{\sigma(\alpha)}{\alpha}\right)^n = \frac{\sigma(\alpha^n)}{\alpha^n} = \frac{\sigma(b)}{b} = \frac{b}{b} = 1$, and $\frac{\sigma(\alpha)}{\alpha} = \varepsilon_\sigma = \varepsilon^{n\sigma} \in F(\alpha)$. Hence $\sigma(\alpha) = \varepsilon_{\sigma_n} \cdot \alpha \in F(\alpha)$. So $F(\alpha)/F$ is normal. Let $f(x) = x^n - b$, $f'(x) = nx^{n-1}$, $\gcd(f, f') = 1$ no multiple roots.

Hence, $F(\alpha)/F$ is separable and then Galois. Suppose $\sigma, \tau \in \text{Gal}(K/F)$, then

$$\tau\sigma(\alpha) = \tau(\sigma(\alpha)) = \tau(\varepsilon_\sigma \alpha) = \varepsilon_\sigma \tau(\alpha) = \varepsilon_\sigma \varepsilon_\tau \alpha.$$

We make a group homomorphism that

$$\begin{aligned} \varphi(\text{Gal}(F(\alpha)/F)) &\cong \text{Gal}(F(\alpha)/F) \xrightarrow{\varphi} \phi_n^{A/F} \\ \sigma &\mapsto \varphi(\sigma) = \varepsilon_\sigma \end{aligned}$$

Since $\varphi(\tau\sigma) = \varepsilon_{\sigma\tau} = \varphi(\tau)\varphi(\sigma)$, $\sigma(\alpha) = \varepsilon_\sigma \alpha = \alpha$, it is a homomorphism and injective.

By the theorem, a subgroup of a cyclic group is also cyclic. □

Definition 4.5. (solvable by radical) Let $f(x) \in F[x]$, $\deg(f) \geq 1$, $f(x)$ is called solvable by radical over F if $\text{Split}_F(f) := L \subseteq K$, K/F is Galois, s.t. $F = F_0 \subseteq F_1 \subseteq \dots \subseteq F_m = K$ with $F_1 = F(\varepsilon)$ $\text{char}(F) \nmid n$, $F_{i+1} = F_i(\alpha_{i+1})$, $\alpha_{i+1}^{n_i} = b_i \in F_i$, $n_i \mid n$, $\forall i = 1, 2, \dots, m-1$.

In fact, $\text{Gal}(K/F_1) \supseteq \text{Gal}(K/F_2) \supseteq \dots \supseteq \text{Gal}(K/F_m) = \{\text{id}\}$, $\text{Gal}(F_{i+1}/F_i) \cong \frac{\text{Gal}(K/F_i)}{\text{Gal}(K/F_{i+1})}$, by the first and second fundamental theorems, we have that their are all abelian groups.

Example 4.6. $x^4 + bx^2 + c = 0$ is solvable by radical.

Definition 4.7. (solvable group) A group G is called solvable if

$$\exists G = G_0 \supseteq G_1 \supseteq \dots \supseteq G_m = \{e\}$$

such that $G_{i+1} \triangleleft G_i$ with G_i/G_{i+1} is abelian.

Then we have $\text{Gal}_F(f) = \text{Gal}(\text{Split}_F(f)) = \text{Gal}(L/F) = \text{Gal}(K/F)/\text{Gal}(K/L)$. $f(x)$ is solvable by radical over F iff $\text{Gal}(f)$ is solvable.

Lemma 4.8. *Let $N \triangleleft G$, then G/N is abelian iff $\forall a, b \in G, aba^{-1}b^{-1} \in N$.*

Proof. $\forall a, b \in G, aba^{-1}b^{-1} \in N \Leftrightarrow aba^{-1}b^{-1}N = N \Leftrightarrow aNbNa^{-1}Nb^{-1}N = N \Leftrightarrow aNbN = bNaN \Leftrightarrow G/N$ is abelian \square

Lemma 4.9. *Let $n \geq 5$, $N \triangleleft H \subseteq S_n$ if H has all 3-cycle then so is N with H/N abelian.*

Proof. let i, j, k, r, s are distinct integers between 1 and n ($n \geq 5$).

$$\sigma = (ijk)(krs)(ijk)^{-1}(krs)^{-1} = (ijk)(krs)(kji)(srk)$$

Consider these integers, $\sigma(i) = r, \sigma(r) = k, \sigma(k) = i$ thus $\sigma = (irk)$. N must have σ . \square

Theorem 4.10. $S_n (n \geq 5)$ is not solvable.

Proof. Suppose on the contrary, S_n is solvable. $S_n = G_0 \supseteq G_1 \supseteq \dots \supseteq G_m = \{\text{id}\}$ s.t. $G_{i+1} \triangleleft G_i$ and G_i/G_{i+1} abelian. G_1/G_2 , then G_2 has three cycles, hence G_m has three cycles. Contradiction! \square

5 More on Galois Theory

Definition 5.1. x_1, \dots, x_n are independent variables over a field k . $K := k(x_1, \dots, x_n)$, if $\forall g(x_1, \dots, x_n) \in K, \forall \sigma \in S_n \subseteq \text{Aut}(K)$. Define $\sigma(g)(x_1, \dots, x_n) = g(x_{\sigma(1)}, \dots, x_{\sigma(n)}) \in K$. $F = K^{S_n} := \{g \in K \mid \sigma(g) = g, \forall \sigma \in S_n\}$

Claim. $\forall \sigma \in S_n, \sigma \in \text{Aut}(K/K^{S_n}), [K:K^{S_n}] \geq \# \text{distinct embeddings} = n!$

Define $f(t) := (t - x_1) \cdots (t - x_n) = t^n + a_1 t^{n-1} + \dots + a_{n-1} t + a_n, a_i \in K^{S_n}$.

Define $E := k(a_1, a_2, \dots, a_n), E \subseteq F$. And now we prove that $E = F$, we only need to prove $K/E \leq n!$.

In general, we have $E_{i-1} = E_i(x_i)$, and $f_i(t) = \frac{f(t)}{(t - x_{i+1}) \cdots (t - x_n)} = (t - x_1) \cdots (t - x_i)$. $f_i(x_i) = 0$.

$[E_{i-1}: E_i] \leq \deg(f_i) = i$. Thus $[K:E] \leq n! \implies [K:E] = [K:F] = n!$.

Now Claim K/F is Galois and $\text{Gal}(K/F) = S_n$.

- normal ($\leq n!$ embeddings but we already have $n!$)
- separable ($< n!$)

Thus $\text{Gal}_F(f) = \text{Gal}(\text{Split}_F(f)) = \text{Gal}(K/F) = S_n$.

Example 5.2. $\text{Gal}_{\mathbb{Q}}((x-1)(x-2)\cdots(x-5)) = \{\text{id}\}, \text{Gal}_{\mathbb{Q}}(x^3-2) = S_3, \text{Gal}_{\mathbb{Q}}(x^5-5x-1) = S_5$.

Question 1. (inverse Galois problem) Given a finite group G , can we find an Galois extension K/\mathbb{Q} such that $\text{Gal}(K/\mathbb{Q}) = G$?

6 Finite Field Extension

Theorem 6.1. Suppose A is a field with $\text{char } A = p$, then for every $q = p^m, m \geq 1$, there exists a unique subfield \mathbb{F}_q of A , where \mathbb{F}_q is a finite field with exact q elements.

Proof. Define $S = \{\alpha \in A \mid \alpha^q - \alpha = 0\} \subseteq A$, then if $\alpha, \beta \in S$, $(\alpha \pm \beta)^q = \alpha^q \pm \beta^q = \alpha \pm \beta \in S$, and $(\alpha\beta)^q = \alpha^q\beta^q = \alpha\beta \in S$. If in addition, $\beta \neq 0$, $(\alpha\beta^{-1})^q = \alpha^q(\beta^q)^{-1} = \alpha\beta^{-1} \in S$. So S is a field.

Take derivative of S , $(x^q - x)' = qx^{q-1} - 1 = -1$, i.e. $\gcd(x^q - x, (x^q - x)') = 1$, which means $x^q - x$ no multiple roots.

Hence, $|S| = q = \deg(x^q - x)$. We set $\mathbb{F}_q := S$.

As for uniqueness, $|\mathbb{F}_q - \{0\}| = q - 1$ and $\alpha^{q-1} = 1$ which implies $\alpha^q - \alpha = 0$ containing 0 luckily. \square

Note. In a field with characteristic p , $p\alpha = 0$ for all $\alpha \in A$.

Lemma 6.2. $E = \mathbb{F}_{q^n}$ is unique in A .

Note 6.3. Namely, the field extension $A > E > \mathbb{F}_q$ is unique.

Proof. Note that $n = [E : \mathbb{F}_q] = \dim_{\mathbb{F}_q}(E)$. So there exists a basis of E over \mathbb{F}_q , u_1, u_2, \dots, u_n , such that $\forall \alpha \in E$, $\alpha = b_1u_1 + \dots + b_nu_n$, where $b_i \in \mathbb{F}_q$ are unique. $|E| = q^n$. Then by Theorem 6.1, we have done. \square

From above lemma, we can immediately get following:

Theorem 6.4. Suppose there is a field extension $\mathbb{F}_{q^n}/\mathbb{F}_q$, then for every $m|n$, there is a unique \mathbb{F}_{q^m} . Alternatively, If E is an intermediate field of \mathbb{F}_{q^n} and \mathbb{F}_q , then there must exist a unique m , such that $\mathbb{F}_{q^m} = E$ and $m|n$.

This theorem means that the number of intermediate field E is equal to the number of positive integer divisors of n .

Theorem 6.5. $\mathbb{F}_{q^n}/\mathbb{F}_q$ is Galois.

Proof. $\mathbb{F}_{q^n} = \text{Split}_{\mathbb{F}_q}(x^{q^n} - x)$, $\mathbb{F}_{q^n}/\mathbb{F}_q$ is normal. Every element $\alpha \in \mathbb{F}_{q^n}$ is a root of $x^{q^n} - x$ (no multiple roots), hence separable over \mathbb{F}_q . Hence, the extension is Galois. \square

Theorem 6.6. $\mathbb{F}_{q^n}/\mathbb{F}_q$ is cyclic, i.e. $\text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_q) = \langle \sigma \rangle = \{\sigma^0 = \text{Id}, \sigma, \dots, \sigma^{n-1}\}$, where σ is defined as Frobenius automorphism

$$\sigma: \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n},$$

$$\sigma \mapsto \sigma(\alpha) = \alpha^q.$$

Proof. $\forall \alpha, \beta \in \mathbb{F}_{q^n}$, $\sigma(\alpha + \beta) = (\alpha + \beta)^q = \alpha^q + \beta^q = \sigma(\alpha) + \sigma(\beta)$, $\sigma(\alpha\beta) = (\alpha\beta)^q = \alpha^q\beta^q = \sigma(\alpha)\sigma(\beta)$ and $\sigma(1) = 1^q = 1$. So σ is a homomorphism.

If $\sigma(\alpha) = \sigma(\beta) \Rightarrow \alpha^q = \beta^q = (\alpha - \beta)^q = 0 \Rightarrow \alpha = \beta$. Hence σ is injective. σ injective means surjective. Hence, σ is an automorphism. $\sigma \in \text{Aut}(\mathbb{F}_{q^n})$.

Restriction in \mathbb{F}_q : $\forall a \in \mathbb{F}_q$, $\sigma(a) = a^q = a$. Then $\sigma \in \text{Aut}(\mathbb{F}_{q^n}/\mathbb{F}_q) = \text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_q)$.

For $\forall \alpha \in \mathbb{F}_{q^n}$, $\sigma^n(\alpha) = \alpha^{q^n} = \alpha$, hence, $\sigma^n = \text{Id}$.

For any $1 \leq m < n$, $\sigma^m \neq \text{Id}$. If note, suppose $\sigma^m = \text{Id}$, then $\sigma^m(\alpha) = \alpha^{q^m} = \alpha$, i.e. α are a root of $x^{q^m} - x$ which contradicts to the minimal polynomial $x^{q^n} - x$. \square

So we have a relation of extension of fields and inclusion of subgroups as follows

$$\begin{array}{ccc} \mathbb{F}_{q^n} & \text{---} & \text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_{q^n}) = \{\text{Id}\} \\ | & & | \\ \mathbb{F}_{q^m} & \text{---} & \text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_{q^m}) = \langle \sigma^m \rangle \\ | & & | \\ \mathbb{F}_q & \text{---} & \text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_q) = \langle \sigma \rangle \end{array}$$

And $\text{Gal}(\mathbb{F}_{q^m}/\mathbb{F}_q) = \frac{\text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_q)}{\text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_{q^m})} = \langle \sigma \rangle / \langle \sigma^m \rangle = \langle \sigma^{n/m} \rangle$.

Theorem 6.7. *Let $f(x) \in \mathbb{F}_q(x)$, irreducible over \mathbb{F}_q with $\deg(f) = n$. Then $f(x) | x^{q^n} - x$.*

Proof. Let α be a root of $f(x)$, then α is also a root of $x^{q^n} - x$, since $\mathbb{F}_q(\alpha) = \mathbb{F}_{q^n}$, which means $f(x) | x^{q^n} - x$. \square

Theorem 6.8. $x^{q^n} - x = \prod_{m|n} \text{monic irreducible polynomials } f(x) \text{ over } \mathbb{F}_q \text{ of } \deg(f) = n$.

Proof. Note that $x^{q^m} - x | x^{q^n} - x$. In fact, $q^m - 1 | q^n - 1 \implies x^{q^m-1} - 1 | x^{q^n-1} - 1 \implies x^{q^m} - x | x^{q^n} - x$. Distinct no multiple root divisors. \square

Consider $\mathbb{F}_{q^{n_1}}, \mathbb{F}_{q^{n_2}}, \dots, \mathbb{F}_{q^{n_m}} \subseteq \mathbb{F}_{q^N}$, where $N = \text{lcm}(n_1, n_2, \dots, n_m)$. Define

$$\mathbb{F}_{q^\infty} = \bigcup_{n=1}^{\infty} \mathbb{F}_{q^n},$$

then it is a field.

Theorem 6.9. \mathbb{F}_{q^∞} is the smallest algebraically closed field containing \mathbb{F}_q and $\mathbb{F}_{q^\infty}/\mathbb{F}_q$ is algebraic.

Proof. Let $f(x) \in \mathbb{F}_{q^\infty}[x]$, with $\deg(f) \geq 1$. WLOG, we may assume $f(x)$ is irreducible over \mathbb{F}_{q^∞} ,

$$f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$$

There exists N , such that $a_0, \dots, a_{n-1} \in \mathbb{F}_{q^N}$. Then $f(x) \in \mathbb{F}_{q^N}[x]$. All roots of $f(x)$ will be in $\text{Split}_{\mathbb{F}_{q^N}}(f) := K$, while $K = \mathbb{F}_{q^{N/m}} \subseteq \mathbb{F}_{q^\infty}$ (can not be finite). All subfield is finite and algebraic, then \mathbb{F}_{q^∞} is algebraic. \square

Remark 6.10. $\mathbb{F}_{q^n} - \{0\} = \langle \alpha \rangle = \{\alpha^0 = 1, \alpha, \alpha^2, \dots, \alpha^{q^n-2}\}$, then $\mathbb{F}_{q^n} = \mathbb{F}(\alpha)$.

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